

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
2003US301/CIP

Total Pages in this Submission  
77
**TO THE COMMISSIONER FOR PATENTS**
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY**

and invented by:

**RALPH R. DAMMEL, RAJ SAKAMURI AND FRANCIS M. HOULIHAN**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation    ☐ Divisional    ☒ Continuation-in-part (CIP)    of prior application No.: 10/371,262

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 54 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☐ Brief Description of the Drawings (if filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**Application Elements (Continued)**

3. ☐ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal                      Number of Sheets \_\_\_\_\_
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9. ☒ Assignment Papers *(cover sheet & document(s))*
10. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☒ Information Disclosure Statement/PTO-1449                      ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Certificate of Mailing
- ☐ First Class    ☒ Express Mail *(Specify Label No.):* EV 068893173 US

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages in this Submission  
77

17. ☐ Additional Enclosures (please identify below):

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18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	27	- 20 =	7	x \$18.00	\$126.00
Indep. Claims	2	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$750.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$876.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☒ Charge the amount of **\$876.00** as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
\_\_\_\_\_  
Signature

Sangya Jain, Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
telephone (908) 429-3536  
Customer No. 26,289

Dated: August 9, 2003

CC:

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
**2003US301CIP**

In Re Application Of: **Ralph R. Dammel et al**

Serial No.  
**TO BE ASSIGNED**

Filing Date  
**September 9, 2003**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**TO BE ASSIGNED**

Title: **PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY**

**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is:

- |   |   |
|---|---|
| 1) Utility Patent Transmittal Form            | 6) IDS Statement  |
| 2) Combined Declaration and Power of Attorney | 7) Information Disclosure Citation w/references                 |
| 3) Assignment                                 | 8) Postcard   |
| 4) Recordation Form Cover Sheet               | 9) Certificate of Mailing by Express Mail for items 1 through 8 |

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

  
\_\_\_\_\_  
*Signature*

Dated: **September 9, 2003**

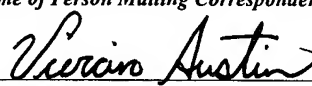
**Sangya Jain, Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
telephone (908) 429-3536  
Customer No. 26,289**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>Ralph R. Dammel et al</b>			Docket No. <b>2003US301CIP</b>
Serial No. <b>TO BE ASSIGNED</b>	Filing Date <b>September 9, 2003</b>	Examiner <b>TO BE ASSIGNED</b>	Group Art Unit <b>TO BE ASSIGNED</b>
Invention: <b>PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY</b>			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; min-height: 50px;"><b>GENERAL TRANSMITTAL LETTER</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>September 9, 2003</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Vivian Austin</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 068893173 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>			
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Docket No.

**2003US301CIP**

Serial No.

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**September 9, 2003**

Examiner

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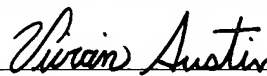
Group Art Unit

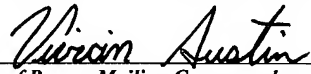
**TO BE ASSIGNED**Invention: **PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY**

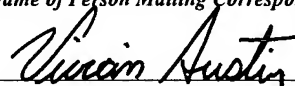
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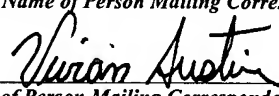
**UTILITY PATENT TRANSMITTAL FORM***(Identify type of correspondence)*

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**September 9, 2003***(Date)***Vivian Austin***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 068893173 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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Invention: <b>PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY</b>			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; min-height: 50px;"><b>INFORMATION DISCLOSURE CITATION</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>September 9, 2003</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Vivian Austin</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 068893173 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>			
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<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; min-height: 50px;"><b>INFORMATION DISCLOSURE STATEMENT</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>September 9, 2003</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Vivian Austin</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 068893173 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>			
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Invention: <b>PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY</b>			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; min-height: 50px;"><b>RECORDATION FORM COVER SHEET</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>September 9, 2003</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Vivian Austin</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 068893173 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>			
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Examiner

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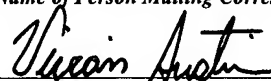
Group Art Unit

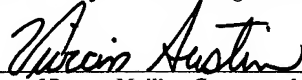
**TO BE ASSIGNED**Invention: **PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY**

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Invention: <b>PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY</b>			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"><b>COMBINED DECLARATION AND POWER OF ATTORNEY</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>September 9, 2003</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Vivian Austin</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 068893173 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>			
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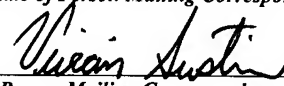
Group Art Unit

**TO BE ASSIGNED**Invention: **PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY**

I hereby certify that the following correspondence:

**UTILITY PATENT APPLICATION CONSISTING OF PAGES 1 THROUGH 54***(Identify type of correspondence)*

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